

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher J. Edge; Customer No.: 28863
Timothy A. Fischer;
William A. Rozzi
Patent No.: 6,362,808 Docket No.: 1037-044US05
Filed: August 12, 1997
Title: ARRANGEMENT FOR MAPPING COLORS BETWEEN IMAGING
SYSTEMS AND METHODS THEREFOR

CERTIFICATE UNDER 37 CFR 1.10 I hereby certify that this correspondence is being deposited with U.S. Postal Service
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POWER OF ATTORNEY BY ASSIGNEE

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

This Power of Attorney accompanies a Reissue Application. Kodak Polychrome Graphics, LLC ("Assignee"), having a place of business at 401 Merritt 7, Norwalk, Connecticut, 06851, states that it is the Assignee of the entire right, title, and interest in the application/patent identified above and represents that the undersigned is a representative authorized and empowered to sign on behalf of the Assignee. A statement under 37 C.F.R. 3.73(b) is filed herewith.

Assignee hereby appoints the attorneys and/or patent agents at the following Customer Number to prosecute this application and to transact all business in the U.S. Patent and Trademark Office in connection with the above-identified application:

28863

28863

PATENT TRADEMARK OFFICE

Shumaker & Sieffert, P.A.
8425 Seasons Parkway, Suite 105
St. Paul, Minnesota 55125
(651) 735-1100

Please direct all future correspondence in this application to Customer Number
28863.

Assignee of Interest: Kodak Polychrome Graphics, LLC

Date: 1/21/2004

By: Amelia Buharin

Name: Amelia Buharin

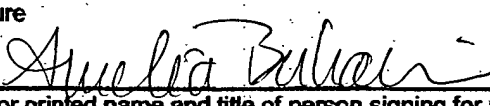
Title: Assistant Secretary

Address of Assignee of Interest:

401 Merritt 7

Norwalk, CT 06851

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REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT		Docket Number (Optional) 1037-044US05
This is part of the application for a reissue patent based on the original patent identified below.		
Name of Patentee(s) Christopher J. Edge, Timothy A. Fischer and William A. Rozzi		
Patent Number 6,362,808	Date Patent Issued March 26, 2002	
Title of Invention ARRANGEMENT FOR MAPPING COLORS BETWEEN IMAGING SYSTEMS AND METHODS THEREFOR		
<p>1. <input checked="" type="checkbox"/> Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.</p> <p>One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".</p> <p>The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.</p>		
The assignee(s) owning an undivided interest in said original patent is/are <u>KODAK POLYCHROME GRAPHICS LLC</u> and the assignee(s) consents to the accompanying application for reissue.		
Name of assignee/inventor (if not assigned)		
Signature 	Date January 8, 2004	
Typed or printed name and title of person signing for assignee (if assigned) Amelia Buharin Assistant Secretary		

This collection of information is required by 37 CFR 1.172. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Kodak Polychrome Graphics LLCApplication No./Patent No.: 6,362,808 Filed/Issue Date: March 26, 2002Entitled: ARRANGEMENT FOR MAPPING COLORS BETWEEN IMAGING SYSTEMS AND METHODS THEREFORKodak Polychrome Graphics LLC limited liability corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: Christopher J. Edge et al. To: 3M CompanyThe document was recorded in the United States Patent and Trademark Office at
Reel 9660, Frame 0952, or for which a copy thereof is attached.2. From: 3M Company To: 3M Innovative Properties CompanyThe document was recorded in the United States Patent and Trademark Office at
Reel 014128, Frame 0142, or for which a copy thereof is attached.3. From: 3M Innovative Properties Company To: Imation Corp.The document was recorded in the United States Patent and Trademark Office at
Reel 014128, Frame 0146, or for which a copy thereof is attached.☒ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

January 8, 2004

Date

203-545-7105

Telephone number

Amelia Buharin

Typed or printed name

Amelia Buharin

Signature

Assistant Secretary

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 C.F.R. 3.73(b) – SUPPLEMENTAL SHEET

Continuation of Chain of Title:

4. **From: Imation Corp. To: Kodak Polychrome Graphics LLC**
 The document was recorded in the United States Patent and Trademark Office at
 Reel 014128, Frame 0144.

RECEIVED

APR 22 1999

W.D. BAUER

PTAS

APRIL 10, 1999

IMATION CORP.
WILLIAM D. BAUER
LEGAL AFFAIRS
P.O. BOX 64893
ST. PAUL, MN 55164-0893

3M-IMATION

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 12/18/1998

REEL/FRAME: 9660/0952
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

EDGE, CHRISTOPHER J.

DOC DATE: 12/19/1997

ASSIGNOR:

FISCHER, TIMOTHY A.

DOC DATE: 12/19/1997

ASSIGNOR:

ROZZI, WILLIAM A.

DOC DATE: 12/19/1997

ASSIGNEE:

MINNESOTA MINING AND MANUFACTURING
CO.

3M CENTER

P.O. BOX 33427

ST. PAUL, MINNESOTA 55133-3427

SERIAL NUMBER: 03909932

PATENT NUMBER:

FILING DATE: 08/12/1997

ISSUE DATE:

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APR 19 1999



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9660/0952 PAGE 2

DIANE RUSSELE, PARALEGAL
ASSIGNMENT DIVISION
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Patent and Trademark Office
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OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

NOVEMBER 17, 2003

PTAS

SHUMAKER & SIEFFERT, P.A.
STEVEN J. SHUMAKER
8425 SEASONS PARKWAY, SUITE 105
ST. PAUL, MN 55125



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RECORDATION DATE: 11/14/2003

REEL/FRAME: 014128/0142
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

3M COMPANY (FORMERLY MINNESOTA
MINING AND MANUFACTURING
COMPANY), A CORPORATION OF THE
STATE OF DELAWARE

DOC DATE: 10/13/2003

ASSIGNEE:

3M INNOVATIVE PROPERTIES COMPANY
P.O. BOX 33427
ST. PAUL, MINNESOTA 55133-3427

SERIAL NUMBER: 08909932
PATENT NUMBER: 6362808

FILING DATE: 08/12/1997
ISSUE DATE: 03/26/2002

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Handwritten signature/initials



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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Washington, D.C. 20231

NOVEMBER 17, 2003

PTAS

SHUMAKER & SIEFFERT, P.A.
STEVEN J. SHUMAKER
8425 SEASONS PARKWAY, SUITE 105
ST. PAUL, MN 55125



700052762A

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RECORDATION DATE: 11/14/2003

REEL/FRAME: 014128/0146
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

3M INNOVATIVE PROPERTIES COMPANY

DOC DATE: 10/14/2003

ASSIGNEE:

IMATION CORP.
P.O. BOX 64898
ST. PAUL, MINNESOTA 55164-0898

SERIAL NUMBER: 08909932
PATENT NUMBER: 6362808

FILING DATE: 08/12/1997
ISSUE DATE: 03/26/2002

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

NOVEMBER 17, 2003

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STEVEN J. SHUMAKER
SHUMAKER & SIEFFERT, P.A.
8425 SEASONS PARKWAY, SUITE 105
ST. PAUL, MN 55125



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RECORDATION DATE: 11/14/2003

REEL/FRAME: 014128/0144
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

IMATION CORP.

DOC DATE: 10/22/2003

ASSIGNEE:

KODAK POLYCHROME GRAPHICS, LLC
401 MERRITT 7
NORWALK, CONNECTICUT 06851

SERIAL NUMBER: 08909932
PATENT NUMBER: 6362808

FILING DATE: 08/12/1997
ISSUE DATE: 03/26/2002

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
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REISSUE APPLICATION DECLARATION BY THE INVENTOR	Docket Number (Optional) <div style="border: 1px solid black; padding: 2px; display: inline-block;">1037-044US05</div>
--	---

I hereby declare that:
 Each inventor's residence, mailing address and citizenship are stated below next to their name.
 I believe the inventors named below to be the original and first inventor(s) of the subject matter which is described and claimed in patent number 6,362,808, granted March 26, 2002 and for which a reissue patent is sought on the invention entitled ARRANGEMENT FOR MAPPING COLORS BETWEEN IMAGING SYSTEMS AND METHOD THEREFOR

the specification of which

☒ is attached hereto.

☐ was filed on _____ as reissue application number _____

and was amended on _____
 (If applicable)

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.
 I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

☐ I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b). Attached is form PTO/SB/02B (or equivalent) listing the foreign applications.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

☐ by reason of a defective specification or drawing.

☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.

☐ by reason of other errors.

At least one error upon which reissue is based is described below. If the reissue is a broadening reissue, such must be stated with an explanation as to the nature of the broadening:
Claims 8-36 recite more than the patentee has a right to claim. We have learned that the subject matter of claims 8-36 was used internally to produce a commercial product distributed more than one year prior to the filing date of the subject patent.

[Page 1 of 2]

This collection of information is required by 37 CFR 1.175. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)		Docket Number (Optional) 1037-044US05	
All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant.			
Note: To appoint a power of attorney, use form PTO/SB/81.			
Correspondence Address: Direct all communications about the application to:			
<input checked="" type="checkbox"/> Customer Number:		28863	
OR			
<input type="checkbox"/> Firm or Individual Name			
Address			
Address			
City	State	Zip	
Country			
Telephone	Fax		
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed:			
Full name of sole or first inventor (given name, family name) Christopher J. Edge			
Inventor's signature		Date 1/14/04	
Residence 1890 Jefferson Avenue		Citizenship United States of America	
Mailing Address St. Paul, MN 55105			
Full name of second joint inventor (given name, family name) Timothy A. Fischer			
Inventor's signature		Date 1/14/2004	
Residence 2255 Fieldstone Drive		Citizenship United States of America	
Mailing Address Mendota Heights, MN 55120			
Full name of third joint inventor (given name, family name) William A. Rozzi			
Inventor's signature		Date 1/14/04	
Residence 830 Norell Avenue North		Citizenship United States of America	
Mailing Address Stillwater, MN 55082			
<input type="checkbox"/> Additional joint inventors or legal representative(s) are named on separately numbered sheets forms PTO/SB/02A or 02LR attached hereto.			

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Reissue of: U.S. Patent No. 6,362,808

Inventors: Christopher J. Edge, Timothy A. Fischer and William A. Rozzi

Issued: March 26, 2002

DECLARATION OF CHRISTOPHER J. EDGE UNDER 37 C.F.R. 1.132

I, Christopher J. Edge state as follows:

1. I am an employee of Kodak Polychrome Graphics, LLC, the assignee of U.S. Patent No. 6,362,808.
2. I was previously employed by Imation Corp. and Minnesota Mining & Manufacturing Company (3M). Kodak Polychrome Graphics, LLC purchased certain businesses of Imation Corp. relating to the U.S. Patent No. 6,362,808 in 2002, which businesses Imation Corp. obtained by way of a spin-off from 3M in 1996.
2. I am also a co-inventor in the above-referenced patent.
3. I have become aware that, more than one year prior to the effective filing date of the above-referenced patent, July 6, 1997, color correction tables were prepared according to certain aspects of the methods of claims 8-36 of the above-referenced patent and commercially distributed to customers of 3M. In particular, I believe that the commercially distributed color correction tables were prepared by generating $L^*a^*b^*$ values for source and destination CMYK values, iteratively reducing differences between the $L^*a^*b^*$ values by adjusting the destination K values and setting the destination CMY values to zero, iteratively reducing differences between the $L^*a^*b^*$ values by adjusting the destination CMY values and keeping the K values substantially fixed at the determined levels, and generating a color map based on both of the

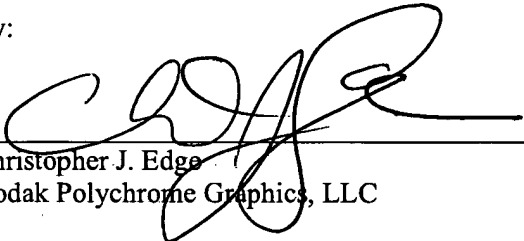
iterative difference reductions. The resulting tables were recorded on CD-ROM discs and distributed to customers for use with color proofing systems.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date:

1/14/04

By:


Christopher J. Edge
Kodak Polychrome Graphics, LLC